Waves and their role in the general circulation of the atmosphere

- 1 Nonrotating stratified flow: internal gravity waves and vertical momentum transport
- 2 Quasigeostrophic flow: Waves, instability, and momentum transport
- 3 The circulation of the stratosphere and mesosphere
- 4 Stirring and mixing in the stratosphere: Transport time scales and the distribution of trace gases
- 5 Eddies and tropospheric climate

FDEPS 2010 Alan Plumb, MIT Nov 2010

Lecture 1:

Nonrotating stratified flow: internal gravity waves and vertical momentum transport

(i) 2D nonrotating, stratified flow

- (ii) Internal gravity waves
- (iii) momentum transport
- (iv) internal gravity wave breaking

FDEPS 2010

Alan Plumb, MIT

Nov 2010

(i) 2D nonrotating, stratified flow

Log-pressure coordinates for hydrostatic, compressible, flowx

log-pressure coordinates, pseudoheight

$$z(p) = -H\ln p$$

hydrostatic balance (appropriate for large scale, low-frequency waves) (z_g is *geometric* height; ρ_g is density in geometric coordinates)

$$\partial p/\partial z_g = -g\rho_g$$

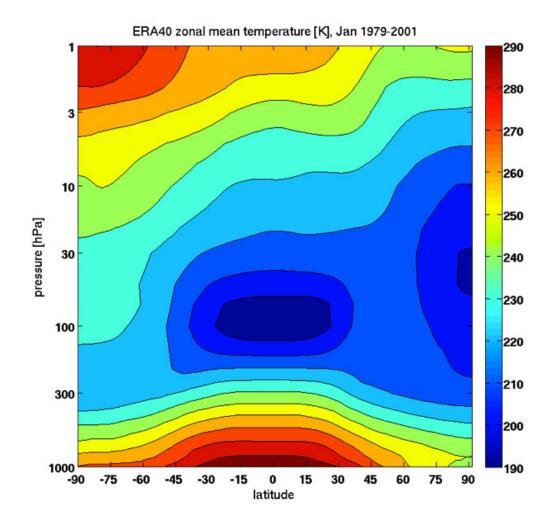
constant $H = RT_*/g$, where T_* is constant reference temperature

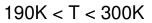
$$\rightarrow \quad dz = -H\frac{dp}{p} = gH\frac{\rho}{p}dz_g = \frac{T_*}{T}dz_g \qquad (\left|\frac{T}{T_*} - 1\right| < 0.2)$$
potential temperature
$$\theta = T(p_*/p)^{\kappa}$$

where $p_* = \text{constant}$ (1000hPa) and $\kappa = R/c_p = 2/7$ (specific entropy = $c_p \ln\theta + \text{constant}$)

$$\rightarrow \quad c_p T = \Pi(p)\theta \quad \text{where } \Pi(p) = c_p (p/p_*)^{\kappa} \text{ is the Exner function}$$

January climatology of T





Log-pressure coordinates for hydrostatic, compressible, flowx

log-pressure coordinates, pseudoheight

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Two-dimensional hydrostatic, compressible, nonrotating flow

(1) momentum pressure gradient force per unit mass

$$-\frac{1}{\rho_g} \left(\frac{\partial p}{\partial x}\right)_{z_g} = \frac{1}{\rho_g} \left(\frac{\partial p}{\partial z_g}\right) \left(\frac{\partial z_g}{\partial x}\right)_p = -\frac{\partial \phi}{\partial x} \quad ; \quad \phi = g z_g$$

 $\rightarrow \boxed{\frac{du}{dt} = -\frac{\partial \phi}{\partial x} + F} \quad ; F \text{ is other } (e.g. \text{ frictional}) \text{ force per unit mass})$

(2) mass continuity

mass element is $\rho_g \, dx \, dy \, dz_g = \frac{p(z)}{gH} \, dx \, dy \, dz$ so log-*p* coordinate density is

$$\rho = \frac{p}{gH} \rightarrow \rho \text{ constant at constant } p$$
$$p(z) = p_0 \exp\left(-\frac{z}{H}\right) \rightarrow \rho(z) = \rho_0 \exp\left(-\frac{z}{H}\right) , \ \rho_0 = \frac{p_0}{gH}$$

 \rightarrow mass per unit area between coordinate surfaces *z*, *z* + *dz* constant, so mass flux is nondivergent:

$$\nabla \boldsymbol{\cdot} (\rho \mathbf{u}) = 0$$

(3) entropy budget

$$\rho c_p \frac{dT}{dt} - \frac{dp}{dt} = J \rightarrow \boxed{\frac{d\theta}{dt}} = (\rho \Pi)^{-1} J$$

(*J* is heating rate per unit volume)

(4) hydrostatic balance

$$\frac{\partial z_g}{\partial p} = -\frac{1}{g\rho_g}$$

$$\frac{\partial \phi}{\partial z} = \frac{g}{-Hp^{-1}\partial p} = \frac{gp}{H}\frac{1}{g\rho_g} = \frac{R}{H}T \qquad \text{(ideal gas law)}$$

$$\rightarrow \boxed{\frac{\partial \phi}{\partial z} = \frac{\kappa\Pi}{H}\theta}$$

Two-dimensional hydrostatic, compressible, nonrotating flow

Full set of equations

$$\frac{du}{dt} = \frac{\partial u}{\partial t} + u \frac{\partial u}{\partial x} + w \frac{\partial u}{\partial z} = -\frac{\partial \phi}{\partial x} + F$$
$$\frac{\partial u}{\partial x} + \frac{1}{\rho} \frac{\partial (\rho w)}{\partial z} = 0$$
$$\frac{d\theta}{dt} = \frac{\partial \theta}{\partial t} + u \frac{\partial \theta}{\partial x} + w \frac{\partial \theta}{\partial z} = (\rho \Pi)^{-1} J$$
$$\frac{\partial \phi}{\partial z} - \frac{\kappa}{H} \Pi \theta = 0$$

(ii) Internal gravity waves

2D internal gravity waves in a compressible fluid (simplest case)

inviscid, adiabatic (F = 0 = J) motionless basic state

$$\theta = \theta_0(z)$$

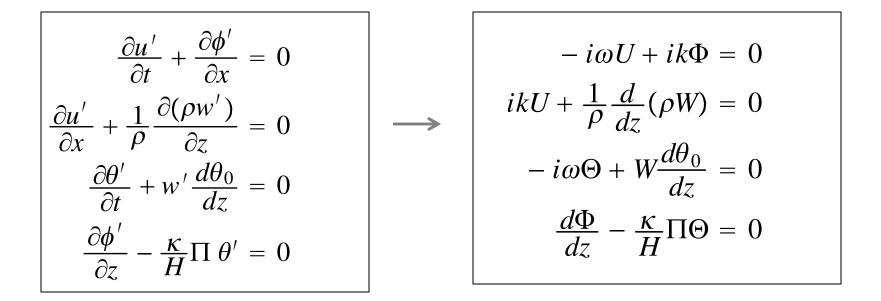
$$\phi_0(z) = \kappa H^{-1} \int_0^z \theta_0(z') \Pi(z') dz'$$

small amplitude perturbations $\varepsilon \ll 1$ [neglect terms $O(\varepsilon^2)$]

$$\frac{\partial u'}{\partial t} + \frac{\partial \phi'}{\partial x} = 0$$
$$\frac{\partial u'}{\partial x} + \frac{1}{\rho} \frac{\partial (\rho w')}{\partial z} = 0$$
$$\frac{\partial \theta'}{\partial t} + w' \frac{d\theta_0}{dz} = 0$$
$$\frac{\partial \phi'}{\partial z} - \frac{\kappa}{H} \Pi \theta' = 0$$

All coefficients are functions of z, look for solutions

$$\begin{pmatrix} u' \\ w' \\ \phi' \\ \theta' \end{pmatrix} = \operatorname{Re} \begin{pmatrix} U(z) \\ W(z) \\ \Phi(z) \\ \Theta(z) \end{pmatrix} \exp[i(kx + ly - \omega t)]$$



Reduce to single equation for Φ :

$$e^{z/H}\frac{d}{dz}\left(\frac{\omega^2}{N^2}e^{-z/H}\frac{d\Phi}{dz}\right) + (k^2 + l^2)\Phi = 0$$

where

$$N^{2}(z) = \frac{\kappa}{H} \prod \frac{d\theta_{0}}{dz} = \frac{g}{T_{*}} \left(\frac{dT_{0}}{dz} + \frac{\kappa}{H} T_{0} \right)$$

 \rightarrow square of *buoyancy frequency*

Solution for constant N^2 :

$$\phi' = \operatorname{Re} \Phi_0 \exp(\frac{z}{2H}) \exp[i(kx + mz - \omega t)]$$

where

$$m = \pm \sqrt{\frac{N^2 k^2}{\omega^2} - \frac{1}{4H^2}}$$

or

$$\omega = \pm N \sqrt{\frac{k^2}{m^2 + 1/4H^2}}$$

Note that if *m* real: (i) wave propagates in vertical *and* (ii) grows with height as $e^{z/2H} \sim \rho^{-1/2}$ Assume $m^2 \gg 1/4H^2 \rightarrow 2\pi/m \ll 4\pi H \simeq 100$ km — good assumption for important atmospheric waves

$$\omega = \pm N \frac{k}{m} = \pm N \tan \gamma$$

 $(\gamma = \tan^{-1}k/m)$; nonhydrostatic case: $\omega = \pm N \sin \gamma$ group velocity:

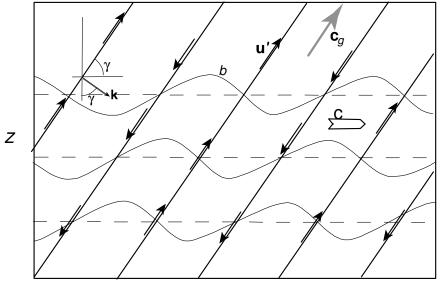
(hydrostatic approximation valid for $\omega \ll N$)

$$\mathbf{c}_g = \left(\frac{\partial \omega}{\partial k}, \frac{\partial \omega}{\partial m}\right) = \pm \frac{N}{m} \left(1, -\frac{k}{m}\right)$$

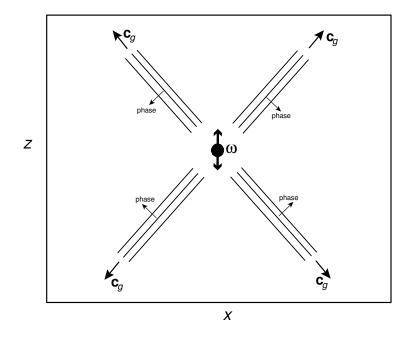
(i) $\rightarrow \mathbf{c}_g \cdot \mathbf{k} = 0$: group propagation is *along* phase lines, at angles $\pm \gamma$

(ii) continuity eq. $\rightarrow \mathbf{k} \cdot \mathbf{u}' = 0$ – fluid motions are along phase lines

(iii) vertical components of group and phase velocities have *opposite* signs.



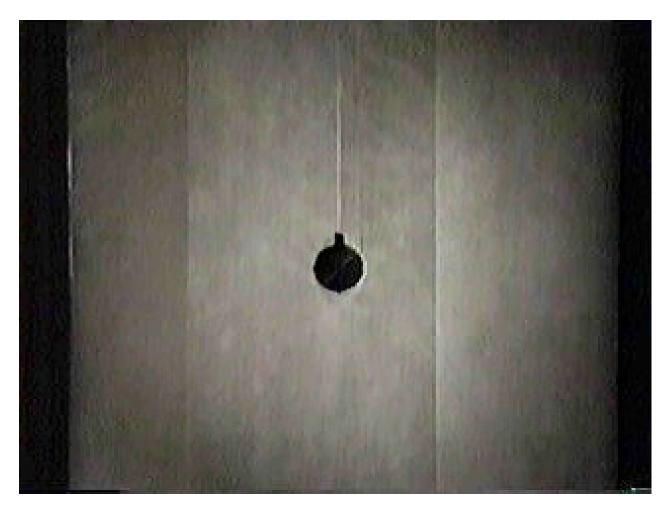
From localized source of frequency ω , waves form rays at angles $\gamma = \sin^{-1}(\omega/N)$ to horizontal, with phase propagation *across* rays:



LINK to MOVIE







http://dennou-k.gaia.h.kyoto-u.ac.jp/library/gfd exp/

Waves in shear (slowly varying background state, varies on height scale $h \gg m^{-1}$)

$$\phi' == \operatorname{Re} \Phi(z) e^{ikx} = \operatorname{Re} \Phi_0(z) \exp(\frac{z}{2H}) \exp[i(kx + mz - \omega t)]$$

 $\Phi(z)$ slowly varying $[m|\Phi_0| \gg |d\Phi_0/dz|]$. m = m(z), also slowly varying.

$$\begin{aligned} -i\omega U + ik\Phi &= 0 \\ ikU + \frac{1}{\rho}\frac{d}{dz}(\rho W) &= 0 \\ -i\omega\Theta + W\frac{d\theta_0}{dz} &= 0 \\ \frac{d\Phi}{dz} - \frac{\kappa}{H}\Pi\Theta &= 0 \end{aligned} \xrightarrow{\qquad } W = \frac{i\omega}{d\theta_0/dz}\Theta = \frac{i\omega}{N^2} \left(\frac{1}{2H} + im\right) \Phi_0 e^{z/2H} e^{imz} \\ \rightarrow \Theta &= \frac{H}{\kappa\Pi}\frac{d\Phi}{dz} = \frac{H}{\kappa\Pi} \left(\frac{1}{2H} + im\right) \Phi_0 e^{z/2H} e^{imz} \end{aligned}$$

$$\overline{u'w'} = \frac{1}{2} \operatorname{Re}(UW^*) = -\frac{km}{2N^2} |\Phi_0|^2 e^{z/H}$$

Waves in shear (slowly varying background state, varies on height scale $h \gg m^{-1}$)

$$\phi' == \operatorname{Re} \Phi(z) e^{ikx} = \operatorname{Re} \Phi_0(z) \exp(\frac{z}{2H}) \exp[i(kx + mz - \omega t)]$$

 $\Phi(z)$ slowly varying $[m|\Phi_0| \gg |d\Phi_0/dz|]$. m = m(z), also slowly varying.

Momentum flux is constant: (we'll see this later)

$$F_{0} = \rho \overline{u'w'} = -\frac{1}{2}\rho_{0}\frac{km(z)}{N^{2}(z)}|\Phi_{0}(z)|^{2}$$

$$\rightarrow |\Phi_{0}(z)|^{2} = -2\frac{F_{0}}{\rho_{0}k}\frac{N^{2}(z)}{m(z)}$$

SO

$$\phi' = \left(\frac{2F_0}{\rho_0}\right)^{\frac{1}{2}} \operatorname{Re}\left[\frac{N^2(z)}{k |m(z)|}\right]^{\frac{1}{2}} \exp(\frac{z}{2H}) \exp[i(kx + mz - \omega t)]$$
varying mean state density factor
(usually dominates)

$$\phi' = \left(\frac{2F_0}{\rho_0}\right)^{\frac{1}{2}} \operatorname{Re}\left[\frac{N^2(z)}{k \ln(z)!}\right]^{\frac{1}{2}} \exp(\frac{z}{2H}) \exp[i(kx + mz - \omega t)]$$

$$c_{gz} = \mp \frac{km}{N^2} (\bar{u} - c)^3 \simeq \frac{k}{N} (c - \bar{u})^2$$
Typical values:
$$2\pi/k = 500 \operatorname{km}, c - \bar{u} = 30 \operatorname{ms}^{-1}, N^2 = 4 \times 10^{-4} \operatorname{s}^{-2}$$

$$c_{g,z} \simeq 5m \operatorname{s}^{-1}$$

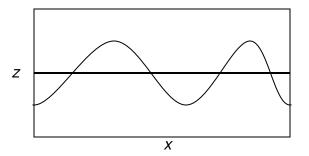
$$\rightarrow 0 \text{ to } 100 \operatorname{km} \text{ in } 20000 \operatorname{s} \simeq 6 \operatorname{hr}$$

$$\rightarrow \operatorname{weakly dissipated}$$

(iii) momentum transport

Zonal Means

Define (Eulerian) zonal mean for a(x, y, z, t): [periodic in x: a(x + L, y, z, t) = a(x, y, z, t)]



$$\bar{a}(y,z,t) = \frac{1}{L} \int_0^L a(x,y,z,t) \, dx$$

eddy (wave) component

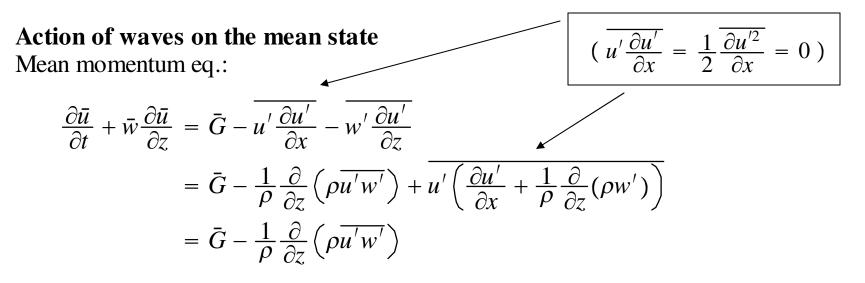
$$a'(x, y, z, t) = a(x, y, z, t) - \overline{a}(y, z, t)$$

by definition

$$\overline{a'} = 0 \; ; \qquad \overline{\left(\frac{\partial a}{\partial x}\right)} = 0 \; :$$

$$\overline{\left(\frac{\partial a}{\partial [y, z, t]}\right)} = \frac{\partial \overline{a}}{\partial [y, z, t]}$$

$$\overline{a \; \frac{\partial b}{\partial x}} = \overline{\left(\frac{\partial}{\partial x}ab\right)} - \overline{b \; \frac{\partial a}{\partial x}} = -\overline{b \; \frac{\partial a}{\partial x}}$$



Mean continuity eq.:

$$\frac{\overline{\partial u}}{\partial x} + \frac{\overline{1}}{\rho} \frac{\partial}{\partial z} (\rho w) = \frac{1}{\rho} \frac{\partial}{\partial z} (\rho \bar{w}) = 0$$

 $\rightarrow \bar{w} = 0$ everywhere, if zero on z = 0 and

$$\frac{\partial \bar{u}}{\partial t} = \bar{G} - \frac{1}{\rho} \frac{\partial}{\partial z} \left(\rho \overline{u' w'} \right)$$

Similarly,

$$\frac{\partial \bar{\theta}}{\partial t} = (\rho \Pi)^{-1} \bar{J} - \frac{1}{\rho} \frac{\partial}{\partial z} \left(\rho \overline{w' \theta'} \right)$$

 \rightarrow eddy fluxes of momentum, $\rho \overline{u'w'}$, and heat $\rho \overline{w'\theta'}$.

Eddy fluxes for steady, inviscid, adiabatic waves in shear

linearized equations

$$\frac{\partial u'}{\partial t} + u_0 \frac{\partial u'}{\partial x} + w' \frac{\partial u_0}{\partial z} + \frac{\partial \phi'}{\partial x} = G'$$
$$\frac{\partial u'}{\partial x} + \frac{1}{\rho} \frac{\partial (\rho w')}{\partial z} = 0$$
$$\frac{\partial \theta'}{\partial t} + u_0 \frac{\partial \theta'}{\partial x} + w' \frac{\partial \theta_0}{\partial z} = (\rho \Pi)^{-1} J'$$
$$\frac{\partial \phi'}{\partial z} - \frac{\kappa}{H} \tilde{\Pi} \theta' = 0$$

(1) eddy heat flux

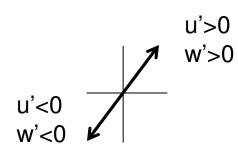
Multiply 3rd eq. by θ' and average:

$$\overline{\theta'\frac{\partial\theta'}{\partial t}} + u_0\overline{\theta'\frac{\partial\theta'}{\partial x}} + \overline{w'\theta'\frac{\partial\theta_0}{\partial z}} = \overline{\theta'J'}$$

But $\overline{\theta'\partial\theta'/\partial x} = \frac{1}{2}\overline{\partial\theta'^2/\partial x} = 0$; if wave *amplitudes* are steady, $\overline{\theta'}^2$ is steady in time, for *adiabatic* eddies (J' = 0) then,

$$\overline{w'\theta'}=0$$

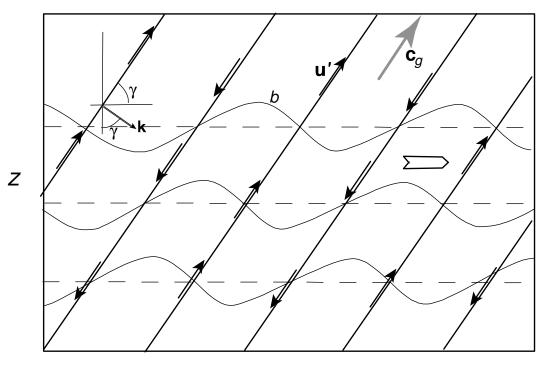
 \rightarrow steady, adiabatic (J' = 0) waves have zero vertical heat flux.



If phase tilt is as shown:

u', w', positively correlated

 \rightarrow momentum flux > 0



Momentum flux for steady, conservative (G' = J' = 0) waves (detailed derivation)

First take mean of $u' \times eddy$ momentum equation:

$$\overline{u'\frac{\partial u'}{\partial t}} + u_0\overline{u'\frac{\partial u'}{\partial x}} + \overline{u'w'}\frac{\partial u_0}{\partial z} + \overline{u'\frac{\partial \phi'}{\partial x}} = \overline{u'G'}$$

$$\rightarrow \qquad \overline{u'w'}\frac{\partial u_0}{\partial z} + \overline{u'\frac{\partial \phi'}{\partial x}} = 0$$

for steady *conservative* waves. But

$$\overline{u'\frac{\partial\phi'}{\partial x}} = \overline{\frac{\partial}{\partial x}(u'\phi')} - \overline{\phi'\frac{\partial u'}{\partial x}} = \frac{1}{\rho}\overline{\phi'\frac{\partial}{\partial z}(\rho w')}$$
$$= \frac{1}{\rho}\frac{\partial}{\partial z}\left(\rho\overline{w'\phi'}\right) - \overline{w'\frac{\partial\phi'}{\partial z}}$$
$$= \frac{1}{\rho}\frac{\partial}{\partial z}\left(\rho\overline{w'\phi'}\right) + \frac{\kappa}{H}\Pi \overline{w'\theta'}$$
$$= \frac{1}{\rho}\frac{\partial}{\partial z}\left(\rho\overline{w'\phi'}\right)$$

$$\rightarrow \rho \overline{u'w'} \frac{\partial u_0}{\partial z} + \frac{\partial}{\partial z} \left(\rho \overline{w'\phi'} \right) = 0$$

for steady, conservative waves.

$$\frac{\partial u'}{\partial x} + \frac{1}{\rho} \frac{\partial (\rho w')}{\partial z} = 0$$

From continuity, define streamfunction ξ such that

$$w' = -\frac{\partial \xi'}{\partial x}; u' = \frac{1}{\rho} \frac{\partial}{\partial z} (\rho \xi')$$
.

Then write momentum eq. (since $\partial/\partial t = -c \partial/\delta x$)

$$(\bar{u} - c)\frac{\partial u'}{\partial x} + \frac{du}{dz}\frac{\partial \xi'}{\partial x} = -\frac{\partial \phi'}{\partial x}$$

$$\rightarrow (\bar{u} - c)u' + \frac{du}{dz}\xi' = -\phi'$$

But

$$\overline{w'\xi'} = -\overline{\xi'\frac{\partial\xi'}{\partial x}} = 0$$

SO

$$(\bar{u}-c)\overline{u'w'} = -\overline{w'\phi'}$$

and

$$(u-c)\frac{\partial}{\partial z}\left(\rho\overline{u'w'}\right) = 0$$

Summary

steady, adiabatic, inviscid, waves $(\bar{u} \neq c)$:

$$\overline{w'\theta'} = 0$$
; $\frac{\partial}{\partial z} \left(\rho \overline{u'w'} \right) = 0$

momentum flux is constant — manifestation of *wave activity* conservation. [NB: $\partial (\rho w' \phi') / \partial z \neq 0$, if $\partial \bar{u} / \partial z \neq 0 \rightarrow$ "energy flux" not constant]

Forcing of mean state:

$$\frac{\partial \bar{u}}{\partial t} = \bar{G} - \frac{1}{\rho} \frac{\partial}{\partial z} \left(\rho \overline{u'w'} \right)$$
$$\frac{\partial \bar{\theta}}{\partial t} = (\rho \Pi)^{-1} \bar{J} - \frac{1}{\rho} \frac{\partial}{\partial z} \left(\rho \overline{w'\theta'} \right)$$

special case of the *nonacceleration theorem*: mean flow is indifferent to the presence of steady, conservative waves (unless waves influence \overline{G} , \overline{J}). Sign of the momentum flux

$$c_0 = \frac{\omega}{k} = \pm N \left(m^2 + \frac{1}{4H^2} \right)^{-1/2}$$

add mean flow \bar{u} :

$$c = c_0 + \bar{u} = \bar{u} \pm N \left(m^2 + \frac{1}{4H^2} \right)^{-1/2}$$

$$c_{gz} = k \frac{\partial c}{\partial m} = \mp N km \left(m^2 + \frac{1}{4H^2} \right)^{-3/2} = \mp \frac{km}{N^2} (c - \bar{u})^3$$

Upward propagating wave: $c_{gz} > 0 \rightarrow sgn(km) = sgn(\bar{u} - c)$.

$$\phi' = \operatorname{Re} \Phi(z) \exp(\frac{z}{2H}) \exp[i(kx + mz - \omega t)]$$

$$\rightarrow \rho \overline{u'w'} = -\frac{1}{2}\rho_0 \frac{km}{N^2} |\Phi(z)|^2$$

$$\rightarrow sgn(\rho \overline{u'w'}) = -sgn(km) = sgn(c - \bar{u})$$

 \rightarrow momentum flux is nonzero for $m \neq 0$, and its sign is that of c - u ("pseudomomentum rule")

(iv) internal gravity wave breaking

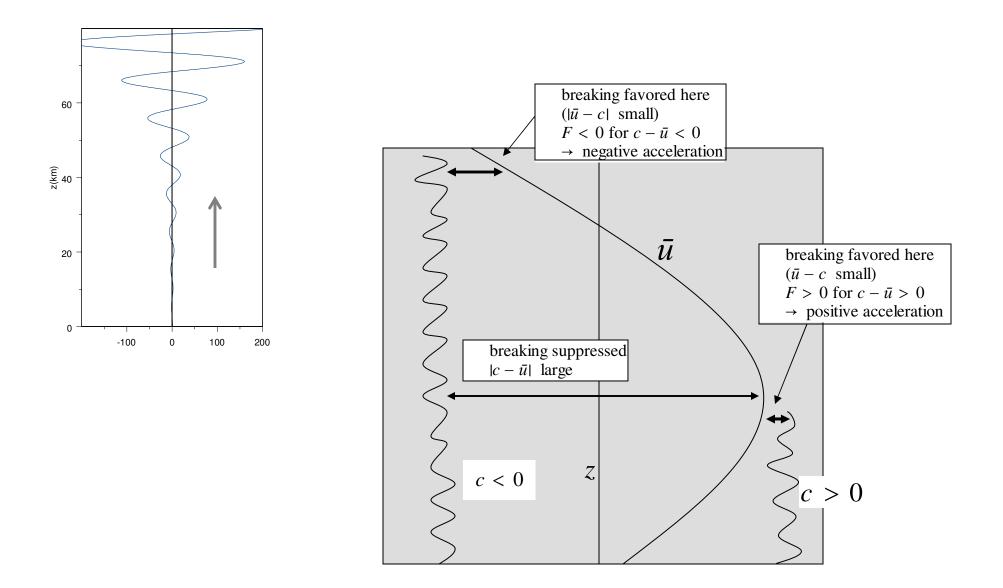
Gravity wave breaking (of the simplest kind) (Lindzen, JGR, 86, 9707, 1981; JAS, 42, 301, 1985)

Wave breaks by convective instability where

$$\begin{aligned} \frac{\partial \theta}{\partial z} &= \frac{\partial \bar{\theta}}{\partial z} + \frac{\partial \theta'}{\partial z} = \frac{\partial \bar{\theta}}{\partial z} \left[1 + \frac{\partial \theta'/\partial z}{\partial \bar{\theta}/\partial z} \right] < 0 \quad z \\ \phi' &= \operatorname{Re} \left(\frac{2F_0}{\rho_0 k} \right)^{\frac{1}{2}} \left[-\frac{N^2(z)}{m(z)} \right]^{\frac{1}{2}} \exp(\frac{z}{2H}) \exp[i(kx + mz - \omega t)] \quad x \\ \frac{\partial \phi'}{\partial z} - \frac{\kappa}{H} \Pi \theta' = 0 \\ \frac{\partial \theta'}{\partial z} &\simeq \operatorname{Re} \left(\frac{2F_0}{\rho_0 k} \right)^{\frac{1}{2}} \frac{HN^{5/2}}{\kappa \Pi(c - \bar{u})^2 \sqrt{-m(z)}} \exp\left[\frac{z}{2H} \right] \exp[i(kx + mz - \omega t)] \\ \frac{\partial \theta'/\partial z}{\partial \bar{\theta}/\partial z} &= \left(\frac{2F_0}{\rho_0 k} \right)^{\frac{1}{2}} \frac{N}{(c - \bar{u})^2 \sqrt{-m(z)}} e^{z/2H} \sim \sqrt{\frac{N}{(c - \bar{u})^3}} e^{z/2H} \quad (\text{for } m^2 \gg \frac{1}{4H^2}) \end{aligned}$$

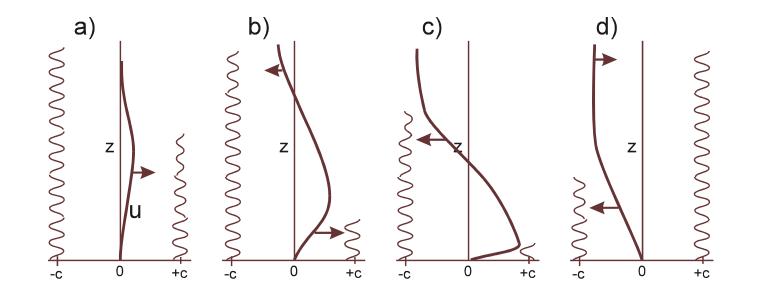
— breaking favored at large z and/or small $|c - \bar{u}|$

— breaking favored at large z and/or small $|c - \bar{u}|$



 \rightarrow Internal gravity wave breaking can *reinforce* zonal flow (we'll see importance of this later)

Oscillating mean flow can be produced by two upward propagating waves of opposite zonal phase speed:





"QBO" in the lab



subcritical forcing



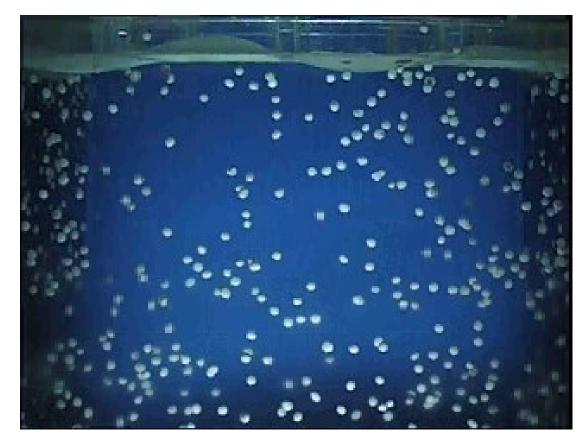




"QBO" in the lab



supercritical forcing





References

- Lindzen, R. S., 1981: Turbulence and Stress Owing to Gravity Wave and Tidal Breakdown, J. Geophys. Res., 86, 9707-9714
- Lindzen, R. S., 1985: Multiple Gravity-Wave Breaking Levels, J. Atmos. Sci., 42, 301-305
- Atmosphere and Ocean in a Laboratory, http://www.gfd-dennou.org/library/gfd_exp/index.htm